

01/04/02

JCS12 U.S. PTO

01-07-02 A.

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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 63428-063

First Inventor or Application Identifier Michael Filipiak

Title Dual Spherical Ball Clamp

Express Mail Label No. EL 747 232 655 US

JC971 U.S. PTO  
10/03/02

01/04/02

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 10]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages 4]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \* Small Entity Statement(s)  Statement filed in prior application,  
(PTO/SB/09-12)  Status still proper and desired
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  This application claims priority to U.S. Provisional  
No. 60/259,686 filed January 4, 2001
16.  Other: Certificate of Express Mail

16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	026096 (Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Karin H. Butchko	Registration No. (Attorney/Agent)	45,864
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Signature	<i>Karen Butchko</i>		
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Date January 4, 2002

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# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT (\$428.00)

Complete if Known	
Application Number	Herewith
Filing Date	Herewith
First Named Inventor	Michael Filipiak
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	63428-063

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>50-1482</b></p> <p>Deposit Account Name <b>Carlson, Gaskey &amp; Olds</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Fee Code (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="6">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$ 40.00)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	65	Surcharge - late filing fee or oath		127	50	227	25	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	130	Non-English specification		147	2,520	147	2,520	2,520	For filing a request for ex parte reexamination		112	920*	112	920*	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	55	Extension for reply within first month		116	400	216	200	200	Extension for reply within second month		117	920	217	460	460	Extension for reply within third month		118	1,440	218	720	720	Extension for reply within fourth month		128	1,960	228	980	980	Extension for reply within fifth month		119	320	219	160	160	Notice of Appeal		120	320	220	160	160	Filing a brief in support of an appeal		121	280	221	140	140	Request for oral hearing		138	1,510	138	1,510	1,510	Petition to institute a public use proceeding		140	110	240	55	55	Petition to revive - unavoidable		141	1,280	241	640	640	Petition to revive - unintentional		142	1,280	242	640	640	Utility issue fee (or reissue)		143	460	243	230	230	Design issue fee		144	620	244	310	310	Plant issue fee		122	130	122	130	130	Petitions to the Commissioner		123	50	123	50	50	Processing fee under 37 CFR 1.17(q)		126	180	126	180	180	Submission of Information Disclosure Stmt		581	40	581	40	40	Recording each patent assignment per property (times number of properties)	40.00	146	740	246	370	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	370	Request for Continued Examination (RCE)		169	900	169	900	900	Request for expedited examination of a design application		Other fee (specify) _____							*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$ 40.00)
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<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>22</td><td>-20** =</td><td>2.00</td><td>9.00 = 18.00</td></tr> <tr><td>Independent Claims</td><td>3.00</td><td>- 3** =</td><td></td></tr> <tr><td>Multiple Dependent</td><td></td><td></td><td></td></tr> </tbody> </table> <p><b>SUBTOTAL (1) (\$ 370.00)</b></p>				Total Claims	Extra Claims	Fee from below	Fee Paid	22	-20** =	2.00	9.00 = 18.00	Independent Claims	3.00	- 3** =		Multiple Dependent				<p>Large Entity Small Entity</p> <table border="1"> <thead> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201 370 Utility filing fee</td></tr> <tr><td>106</td><td>330</td><td>206 165 Design filing fee</td></tr> <tr><td>107</td><td>510</td><td>207 255 Plant filing fee</td></tr> <tr><td>108</td><td>740</td><td>208 370 Reissue filing fee</td></tr> <tr><td>114</td><td>160</td><td>214 80 Provisional filing fee</td></tr> </tbody> </table> <p><b>SUBTOTAL (2) (\$ 18.00)</b></p> <p>*or number previously paid, if greater; For Reissues, see above</p>				Fee Code (\$)	Fee Code (\$)	Fee Description	101	740	201 370 Utility filing fee	106	330	206 165 Design filing fee	107	510	207 255 Plant filing fee	108	740	208 370 Reissue filing fee	114	160	214 80 Provisional filing fee																																																																																																																																																																																							
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SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Karin H. Butchko	Registration No. (Attorney/Agent)	45,864	Telephone 248 988-8360
Signature	<i>Karin Butchko</i>	Date	January 4, 2002	

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Filipiak, et al.  
Serial No.: Unknown  
Filed: Herewith  
Priority: U.S. Provisional application 60/259,686      Filed: 01/04/2001  
Title: DUAL SPHERICAL BALL CLAMP

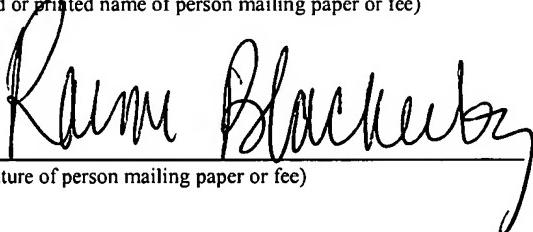
**EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number: **EL 747 232 655 US**

Date of Deposit: January 4, 2002

I hereby certify that the attached documents or fees are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to "Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231".

Raimi Blackerby  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)